

# On the hierarchy: monad, theory, operad

C. Berger (Univ. Nice)

CT 2008 , Calais. 25 june 2008.

§0. Motivation

§1. Theories w/axioms & homogeneous theories

§2. Algebraic theories & symmetric operads

§3. Globular theories & globular operads

## §0. Motivation

symmetric operads  $\rightarrow$  algebraic structure of iterated loop spaces

Vogt 68' (categories of operators in standard form)

May 72' operad

Lambek 69' multicategory

globular operads  $\rightarrow$  algebraic structure of higher categories

Batanin 98'

What is an operad ?

Tentative answer:

operad  $\leftrightarrow$  homogeneous theory.

theory  $\leftrightarrow$  monad w/anties.

§1. Monads w/ arities & symmetric operads

$\mathcal{E}$  cocomplete category

$\Theta_0 \subset \mathcal{E}$  dense subcategory  $\hat{\Theta}_0 = \text{Sets}^{\Theta_0^{\text{op}}}$

$\Leftrightarrow N_0 : \mathcal{E} \rightarrow \hat{\Theta}_0$  fully faithful  
 $X \mapsto \mathcal{E}(-, X)$

$\Leftrightarrow \boxed{\text{colim}_{\Theta_0/X} (-) \xrightarrow{\cong} X \text{ for all } X \text{ in } \mathcal{E} \quad (*)}$

Dfn. (Lack - Weber 07')

$T: \mathcal{E} \rightarrow \mathcal{E}$  has arities  $\Theta_0$   
 if  $N_0 T$  preserves the colimits  $(*)$

Assume  $(T, \mu, \eta)$  monad on  $\mathcal{E}$

$$\begin{array}{ccccc} \Theta_T & \xrightarrow{\text{ppp}} & \mathcal{E}^T & \xrightarrow{N_T} & \hat{\Theta}_T \\ \uparrow i & & \uparrow F_T \downarrow U_T & & \uparrow i^* \downarrow i^* \\ \Theta_0 & \hookrightarrow & \mathcal{E} & \xrightarrow{N_0} & \hat{\Theta}_0 \end{array}$$

bij. on objects

$\Theta_T(a, b) = \mathcal{E}^T(F_T(a), F_T(b)) \subset \text{KP}(T)$

Thm (Weber) let  $T$  be a monad on  $\mathcal{E}$  (cocomplete)

Then  $T$  has arities  $\Theta_0$  iff

$i^*_{i_1} : \hat{\Theta}_0 \rightarrow \hat{\Theta}_0$  preserves essential image of  $N_0$

$$\text{and } \begin{array}{ccc} \mathcal{E}^T & \xrightarrow{N_T} & \hat{\Theta}_T \\ \downarrow \mathcal{U}_T & & \downarrow i^* \\ \mathcal{E} & \xrightarrow{N_0} & \hat{\Theta}_0 \end{array} \quad \begin{array}{l} \text{pseudo-pullback} \\ \text{(-h-pullback in Cat)} \end{array}$$

Specialization : Assume  $\mathcal{E}$  topos

$\Rightarrow \exists!$  topology on  $\Theta_0$  such that.

$$\mathcal{E} \xrightarrow{\sim} \text{Sh}(\Theta_0) \subset \hat{\Theta}_0 \quad \text{essential image of } N_0.$$

(epimorphic family topology)

Dfn. ( $\Theta_T$ -models)  $\text{Mod}_T = \{ X \in \hat{\Theta}_T \mid i^* X \in \text{Sh}(\Theta_0) \}$

$$\begin{array}{ccccc} \mathcal{E}^T & \xrightarrow{\sim} & \text{Mod}_T & \hookrightarrow & \hat{\Theta}_T \\ \downarrow \mathcal{U}_T & & \downarrow i^* & & \downarrow i^* \\ \mathcal{E} & \xrightarrow{\sim} & \text{Sh}(\Theta_0) & \hookrightarrow & \hat{\Theta}_0 \end{array}$$

Thm.  $T$  monad w/arities  $\Theta_0$  iff

$i^*_{i_1} : \hat{\Theta}_0 \rightarrow \hat{\Theta}_0$  preserves sheaves

and  $\mathcal{E}^T \xrightarrow[N_T]{\sim} \text{Mod}_T$  ( $T$ -algebras are  $\Theta_T$ -models)

Cor. 1-1 correspondence between

monads w/ algebras  $\Theta_0$  on  $\mathcal{E}$  (types)

and  $i: \Theta_0 \hookrightarrow \Theta_T$  bij. on objects

sth.  $i^*i_!$  preserves sheaves for the "image-topology".

$\left\{ \begin{array}{l} \Theta_T \text{ "theory" associated to } T \\ \text{Mod}_T \simeq \mathcal{E}^T \end{array} \right.$

Dfn.  $\Theta_T$  homogeneous iff  $\Theta_T = (\Theta_{gen}, \Theta_0)$

$\exists$  "generic-free" factorization system

Rmk. For a homogeneous theory  $\Theta_T$  the associated monad  $T$  has a simple form.

$$X \in \hat{\Theta}_0 \quad i^*i_!(X)_a = \text{colim}_{a/\theta} X_{\theta}$$

$$= \left( \coprod_{\substack{a \rightarrow b \\ \Theta_{gen}}} X_b \right) / \text{Aut}(b) = \coprod_{\substack{a, b \\ \Theta_{gen}}} X_b / \text{Aut}(b)$$

$\left\{ \begin{array}{l} \text{The theory is already determined by} \\ \text{the "generics".} \quad \text{The monad } T \\ \text{is "operadic".} \end{array} \right.$

## §2. Algebraic theories and symmetric operads

$$\mathcal{E} = \text{Sets} \quad \text{Ob}(\Theta_0) = \{\underline{n}, n \geq 0\} \quad \underline{n} = \{1, \dots, n\}$$

$\Theta_0$  = skeleton of the subcategory of "finitely presented" objects.

$\mathcal{E} \rightarrow \hat{\Theta}_0$  image-topology has minimal covering sieves

$$\begin{array}{ccc} \underline{1} & \searrow & \underline{n} \\ \vdots & & \nearrow \\ \underline{1} & \nearrow & \end{array} \quad \forall n \geq 0.$$

$$X \in \hat{\Theta}_0 \text{ sheaf iff } X(\underline{n}) \cong X(\underline{1})^n \quad \forall n \geq 0.$$

Lemma.  $T: \text{Sets} \rightarrow \text{Sets}$  has arities  $\Theta_0$

iff  $T$  preserves filtered colimits

Lemma  $i: \Theta_0 \hookrightarrow \Theta_T$  (bij. on objects) fulfills

i) preserves sheaves

iff coproduct in  $\Theta_0$  remains coproduct in  $\Theta_T$

iff  $\Theta_T^{\text{op}}$  algebraic theory in Lawvere's sense

(Lawvere)

$\leadsto$  Thm<sup>v</sup> 1-1 correspondence between

filtered colimit preserving functors on Sets

and algebraic theories.

$\mathcal{O}_T$  homogeneous algebraic theory

$$\begin{array}{ccccc} \text{Sets}^T & \xrightarrow[N_T]{\sim} & \text{Mod}_T & \hookrightarrow & \hat{\mathcal{O}}_T \\ \downarrow & & \downarrow & & \downarrow \\ X \in \text{Sets} & \xrightarrow[N_0]{\sim} & \text{Sh}(\mathcal{O}_0) & \hookrightarrow & \hat{\mathcal{O}}_0 \end{array}$$

$$N_0(X)(\underline{n}) = \text{Sets}(\underline{n}, X) = X^n$$

$$\begin{aligned} TX &= (i^* i; N_0(X))(\underline{1}) = \left( \coprod_{\substack{\underline{1} \xrightarrow{\text{gen}} \underline{n} \\ \underline{1} \xrightarrow{\text{gen}} \underline{n}}} (N_0(X)(\underline{n})) \right) // \text{Aut}(\underline{n}) \\ &= \coprod_{n \geq 0} \mathcal{O}_T^{\text{gen}}(\underline{1}, \underline{n}) \times_{\Sigma_n} X^n \end{aligned}$$

Def.  $\mathcal{O}_T(n) = \mathcal{O}_T^{\text{gen}}(\underline{1}, \underline{n})$  ( $n \geq 0$ )  $\Sigma_n$ -set.

Lemma  $\mathcal{O}_T(n) \times \mathcal{O}_T(k_1) \times \dots \times \mathcal{O}_T(k_n) \rightarrow \mathcal{O}_T(k_1 + \dots + k_n)$

(induced by category structure of  $\mathcal{O}_T$ )

defines a symmetric operad in Sets

sth.  $T$  may be identified with the monad associated to  $\mathcal{O}_T$ .

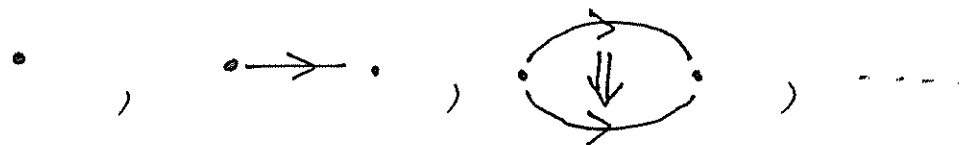
Remark. The terminal operad  $\mathcal{O}_T(n) = *$  corresponds to the theory  $\mathcal{O}_T$  for commutative monoids. Each hom. alg theory comes equipped with unique map to this  $\mathcal{O}_T$ .

§3. Globular theories & globular operads.

$$\mathcal{E} = \hat{\mathcal{G}} = \{ \text{globular sets} \} = \{ \omega\text{-graphs} \}$$

$$\mathcal{G} \quad \begin{array}{c} \cdot \\ \cdot \\ \cdot \\ \cdot \end{array} \xrightarrow[t]{s} \begin{array}{c} \cdot \\ \cdot \\ \cdot \\ \cdot \end{array} \xrightarrow[t]{s} \begin{array}{c} \cdot \\ \cdot \\ \cdot \\ \cdot \end{array} \xrightarrow[t]{s} \begin{array}{c} \cdot \\ \cdot \\ \cdot \\ \cdot \end{array} \dots \quad \text{sth. } ss=ts \ \& \ st=tt$$

representable  
presheaves



$\mathcal{G}$  is filtered by dimension  $\mathcal{G}_0 \subset \mathcal{G}_1 \subset \mathcal{G}_2 \subset \dots$

$$\hat{\mathcal{G}}_n = \{ n\text{-graphs} \} \quad \hat{\mathcal{G}}_1 = \{ \text{graphs} \}$$

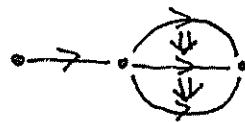
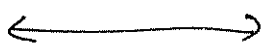
$$\hat{\mathcal{G}}_0 = \{ \text{sets} \}.$$

Dfn.  $\Theta_0 \subset \hat{\mathcal{G}}$  "arities" for globular sets.

$\Theta_0$  full subcategory of  $\hat{\mathcal{G}}$  on  $T_*$   
where  $T$  runs through finite level trees.



$T$



$T_*$

Barauin's star-construction

sectors



cells.



Prop. All globular maps  $S_* \rightarrow T_*$  are monic.

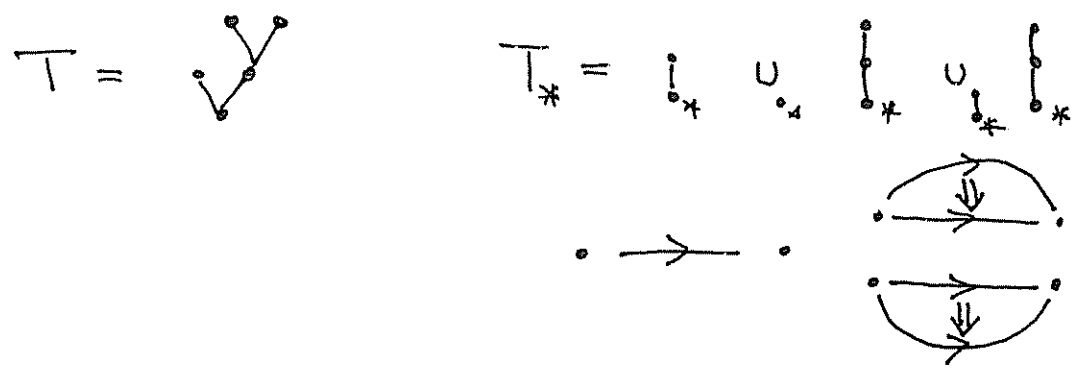
$\Theta_0$  filtered by height.

$\mathcal{G}_2 \subset \Theta_0$  full (linear level-trees).

For each globular set  $X$  and each level-tree  $T$ , define the

"tree-power"  $X^T = \hat{\mathcal{G}}(T_*, X)$   
 $= \{ \text{cell-configurations on } X \text{ of shape } T_* \}$ .

Minimal covering sieve of  $T_*$



Dfn. globular theory  $i: \Theta_0 \hookrightarrow \Theta_{\Pi}$  bij. on objects  
 sth.  $i^*i: \hat{\Theta}_0 \rightarrow \hat{\Theta}_0$  preserves sheaves

Dfn.  $\Theta_{\Pi}$  homogeneous if  $\Theta_{\Pi} = (\Theta_{\Pi}^{gen}, \Theta_0)$  generic-free fact. system  
compatible with height-filtration, i.e.  
 "generics" lower or preserve height.

Thm. 1-1 correspondence between homogeneous globular theories and globular operads of Batanin.

Rmk.  $X$  globular set  $\Theta_{\Pi}$  globular theory

$X$   $\Pi$ -algebra (resp.  $\Theta_{\Pi}$ -model) iff equipped with actions

$$\boxed{\Theta_{\Pi}(S, T) \times X^T \rightarrow X^S}$$

If  $\Theta_{\Pi}$  homogeneous (i.e.  $\Pi$  comes from  $w$ -operad) then these actions are determined by

( $l_n =$  linear tree height  $n$ )  $\Theta_{\Pi}(l_m, T) \times X^T \rightarrow X^{l_m} = X_m$

(evaluations of  $T$ -configurations in  $X$ )

Rmk. An  $w$ -operad in Batanin's sense is given by a collection of sets  $\Theta_{T, n}$  where  $T$  is a level tree of height  $\leq n$ , together with

$$\Theta_{S_*} \times \Theta_{T_1} \times \dots \times \Theta_{T_k} \rightarrow \Theta_{T_*} \quad \text{multiplications}$$

where  $T_*$  is obtained by joining  $(T_1)_*$ ,  $\dots$ ,  $(T_k)_*$  along  $S_*$ .

Thm. (Makai-Zawadowski)

The terminal homogeneous globular theory is isomorphic to Joyal's category  $\Theta$ .