

An Elementary Construction of Final Coalgebras

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Outline

- 1 Once upon a time there was a set...
 - Coalgebras
 - Final coalgebras
 - Languages for coalgebras
 - An elementary construction
- 2 Pointless Languages
- 3 Monadic categories over *Set*
 - A still elementary construction

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Coalgebras: Intuitions

- T -Coalgebras = $(T\text{-Algebras})^{op}$.
- T -Coalgebras are **NOT** Eilenberg-Moore Coalgebras. **No Axioms**
- Observation vs Construction.
- In *Set*, coalgebras represent machines from the point of view of the users:

Battery Chargers (one button machines): $\alpha : A \rightarrow 1 + A$.

Non deterministic transitions systems: $\alpha : A \rightarrow \mathcal{P}(A)$

Coalgebras: Formalities

Definition

A coalgebra for a functor $T : \mathbb{A} \rightarrow \mathbb{A}$ is a morphism (in \mathbb{A})

$$\alpha : A \rightarrow TA.$$

Morphisms:

A coalgebra morphism $f : \alpha \rightarrow \beta$ is defined in the “natural” way. We write $Coalg(T)$ for the category of T -coalgebras and coalgebra morphisms.

The Behavior of a State

Behavior

The behavior of a state is the “evolution” of the state.

Under appropriate circumstances we can give a concrete representation to the **observable behavior**. Using Final coalgebras.

Definition

A final T coalgebra is a terminal object in $Coalg(T)$

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The observable behavior of $\alpha : A \rightarrow 1 + A$

A state s can...

- lead to the halt of the machine, or
- lead us one step closer to the halt of the machine.
- We will never see the machine stop.

A concrete presentation

Consider the set

$$\bar{\mathbb{N}} = \mathbb{N} \cup \{\infty\}$$

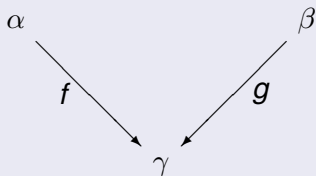
and the function $\zeta : \bar{\mathbb{N}} \rightarrow 1 + \bar{\mathbb{N}}$ defined as follows:

$$\zeta(0) = *; \quad \zeta(n+1) = n; \quad \zeta(\infty) = \infty.$$

Behavioral Equivalence of States

Definition

Over *Set*, two states $s \in \alpha$ and $s' \in \beta$ are *behavioral equivalent*, written $s \sim s'$, iff there exists a coalgebra γ and morphisms



such that $f(s) = g(s')$.

Nice properties of final coalgebras

Important

Final coalgebras code behavioral equivalence semantically i.e.

$$s \sim s' \text{ iff } f_{\alpha}(s) = f_{\beta}(s')$$

Abstract coalgebraic languages

Definition

An *abstract coalgebraic language* is a set \mathcal{L} together with a function

$$Th_{\alpha} : A \rightarrow \mathcal{P}\mathcal{L}$$

for each coalgebra $\alpha : A \rightarrow TA$.

Expressive languages

Important

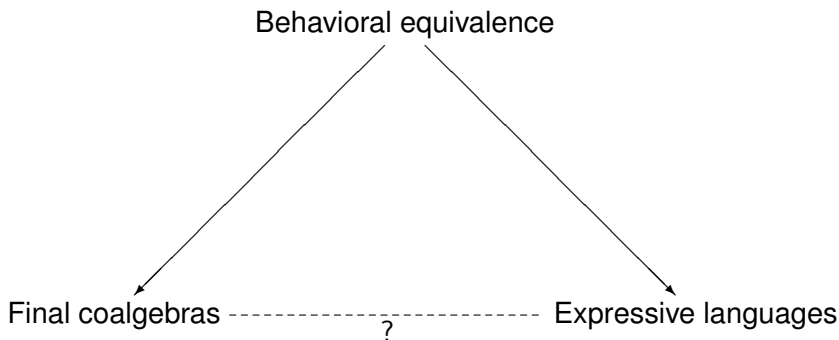
Expressive languages code behavioral equivalence syntactically, i.e.

$$s \sim s' \text{ iff } Th_{\alpha}(s) = Th_{\beta}(s').$$

Summary

In *Set* we have:

$$f_\alpha(s) = f_\beta(s') \text{ iff } s \sim s' \text{ iff } Th_\alpha(s) = Th_\beta(s')$$



Our main topic

Theorem (Goldblatt)

For every functor $T : \mathit{Set} \rightarrow \mathit{Set}$, the existence of a final T -coalgebra is equivalent to the existence of an expressive language with respect to behavioral equivalence.

From final coalgebras to expressive languages (Sets)

Theorem

If there exists a final coalgebra ζ , there exists an expressive abstract coalgebraic language.

Proof.

Take $\mathcal{L} = Z$ and $Th_\alpha = f_\alpha$. □

From expressive languages to final coalgebras

Theorem

If there exists an expressive language, there exists a final coalgebra.

A point wise definition of final coalgebras (still in *Set*)

Proof.

- 1 Take $Z = \{\Phi \subseteq \mathcal{L} \mid (\exists \alpha)(\exists s \in \alpha)(Th_\alpha(s) = \Phi)\}$.
- 2 construct $\zeta : Z \rightarrow TZ$ as follows:

$$\begin{array}{ccc}
 A & \xrightarrow{Th_\alpha} & Z \\
 \alpha \downarrow & & \downarrow \zeta \\
 TA & \xrightarrow{T(Th_\alpha)} & TZ
 \end{array}$$

If $Th_\alpha(s) = \Phi$ then $\zeta(\Phi) = T(Th_\alpha)\alpha(s)$

- 3 Since \mathcal{L} is expressive, ζ is well defined and $Th_\alpha : \alpha \rightarrow \zeta$ is the only morphism of coalgebras.



A point wise definition of final coalgebras (still in *Set*)

Proof.

- Take $Z = \{\Phi \subseteq \mathcal{L} \mid (\exists \alpha)(\exists s \in \alpha)(Th_\alpha(s) = \Phi)\}$.

Those are the states of a final coalgebra

- construct $\zeta : Z \rightarrow TZ$ as follows:

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Farewell to *Set*

Our aim

To construct final coalgebras over categories different than *Set*

First issue

What is an expressive language outside *Set*?

Pointless languages I

Definition

An *abstract coalgebraic language* is a set \mathcal{L} together with a function

$$Th_\alpha : A \rightarrow \mathcal{P}\mathcal{L}$$

for each coalgebra $\alpha : A \rightarrow TA$.

- In our construction we are not using the points (formulas) in \mathcal{L} .
- In the “real live” \mathcal{L} has an algebraic structure and...
- in the boolean case, our theory maps are functions

$$Th_\alpha : A \rightarrow Uf(\mathcal{L}).$$

Pointless languages II

Definition

Given a functor $T : \mathbb{A} \rightarrow \mathbb{A}$, an *abstract coalgebraic language* for T -coalgebras is an object \mathcal{L} together with a morphism

$$Th_\alpha : A \rightarrow \mathcal{L}$$

for each coalgebra $\alpha : A \rightarrow TA$.

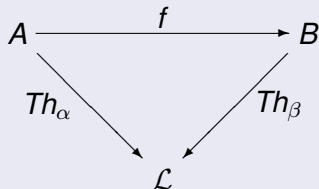
Pointless expressivity I

Expressivity in *Set*

$$s \sim s' \text{ iff } Th_\alpha(s) = Th_\beta(s')$$

From left to right

The following diagram



commutes for every coalgebra morphism f .

Pointless expressivity II

Expressivity in *Set*

$$s \sim s' \text{ iff } Th_\alpha(s) = Th_\beta(s')$$

From right to left

For every pullback there exists a pair of coalgebra morphism f_1, f_2 such that

$$\begin{array}{ccc} P & \xrightarrow{p_1} & A \\ \downarrow p_2 & & \downarrow Th_\alpha \\ B & \xrightarrow{Th_\beta} & C \end{array}$$

$$\begin{array}{ccc} P & \xrightarrow{p_1} & A \\ \downarrow p_2 & & \downarrow f_1 \\ B & \xrightarrow{f_2} & C \end{array}$$

the diagram on the right commutes.

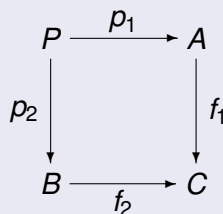
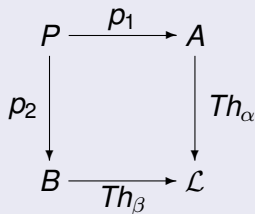
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Expressivity in *Set*

$$s \sim s' \text{ iff } Th_\alpha(s) = Th_\beta(s')$$

From right to left

For every pullback there exists a pair of coalgebra morphism f_1, f_2 such that



the diagram on the right commutes.

From final coalgebras to expressive languages

Theorem

For any functor $T : \mathbb{A} \rightarrow \mathbb{A}$ over a category with pullbacks; if there exists a final coalgebra ζ , there exists an expressive abstract coalgebraic language.

Proof.

Take $\mathcal{L} = Z$ and $Th_\alpha = f_\alpha$. □

The road to go

The converse of the previous theorem holds if \mathbb{A} is monadic over *Set*

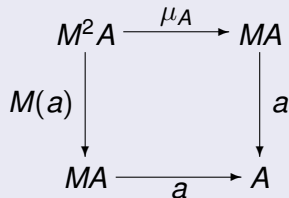
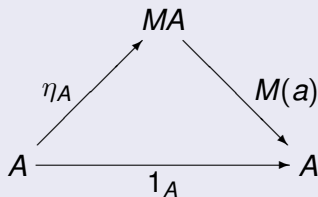
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Monadic categories: Definition

Definition

An algebra for a monad $M : \mathit{Set} \rightarrow \mathit{Set}$ is a morphism $a : MA \rightarrow A$ such the following diagrams



commute.

Our new setting

- We are working with a functor $T : \mathbb{A} \rightarrow \mathbb{A}$, where \mathbb{A} is monadic over *Set*.
- We have an expressive language (\mathcal{L}, I) for T -coalgebras.
- We will work on the category of Eilenberg-Moore algebras Set^M .

Lifting our construction

Main issues

- The set $Z = \{\Phi \in U\mathcal{L} \mid (\exists \alpha)(\exists s \in U(\alpha))(Th_\alpha(s) = \Phi)\}$ is the carrier of an M -algebra.
- For each T -coalgebra α there exists a morphism

$$f_\alpha : (A, a) \rightarrow (Z, z).$$

- The function $\zeta : U(Z, z) \rightarrow UT(Z, z)$ mapping an element $Th_\alpha(s) = \Phi \in \mathcal{L}$ to

$$\zeta(\Phi) = T(f_\alpha)\alpha(s).$$

is a morphism of M -algebras.

- The morphisms f_α are the only coalgebra morphisms into (Z, z, ζ) .

Lifting our construction: We are here!!!

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The set Z is an algebra I

Lemma

For every coalgebra α , the theory arrow $Th_\alpha : (A, a) \rightarrow (\mathcal{L}, l)$ has a $(RegEpi, Mono)$ -factorization

$$\begin{array}{ccc}
 (A, a) & \overset{Th_\alpha}{\dashrightarrow} & (\mathcal{L}, l) \\
 \searrow e_\alpha & & \nearrow m_\alpha \\
 & (Z_\alpha, z_\alpha) &
 \end{array}$$

where $Z_\alpha = \{Th_\alpha(s) \mid s \in UA\}$.

We are using . . .

- Every monad over *Set* is regular.
- Regular monads lift $(RegEpi, Mono)$ -factorizations.

The set Z is an algebra II

- For each $\Phi \in Z$ choose a coalgebra $(A_\Phi, a_\Phi, \alpha_\Phi)$ and a state $s \in A_\Phi$ such that $Th_\alpha(s) = \Phi$.
- Let $(G, g, \gamma) = \coprod_{\Phi \in Z} \alpha_\Phi$ be the coproduct in $Coalg(T)$.
- By construction the function $Th_\gamma : G \rightarrow \mathcal{L}$ factors via

$$Z = \{\Phi \in \mathcal{UL} \mid (\exists \alpha)(\exists s \in \alpha)(Th_\alpha(s) = \Phi)\}.$$

- Using the previous lemma we obtain the algebraic structure.

Lifting our construction: We are here!!!

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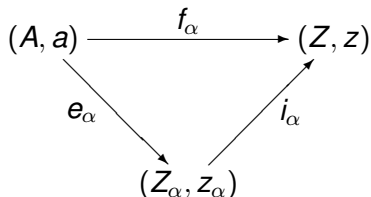
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Constructing the final maps

- For each coalgebra α , the inclusion map $i_\alpha : Z_\alpha \rightarrow Z$ is a morphism of M algebras.
- Define f_α as follows



Remark

For every $s \in A$

$$f_\alpha(s) = Th_\alpha(s)$$

Lifting our construction: We are here!!!

Main issues

- The set $Z = \{\Phi \in U\mathcal{L} \mid (\exists \alpha)(\exists s \in U(\alpha))(Th_\alpha(s) = \Phi)\}$ is the carrier of an M -algebra.
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- The function $\zeta : U(Z, z) \rightarrow UT(Z, z)$ mapping an element $Th_\alpha(s) = \Phi \in \mathcal{L}$ to

$$\zeta(\Phi) = T(f_\alpha)\alpha(s).$$

is a morphism of M -algebras.

- The morphisms f_α are the only coalgebra morphisms into (Z, z, ζ) .

Defining the structural map I

- The function ζ is well defined because U preserves pullbacks, and \mathcal{L} is expressive.
- For every coalgebra α , the following diagram

$$\begin{array}{ccc}
 U(A, a) & \xrightarrow{f_\alpha} & U(Z, z) \\
 \alpha \downarrow & & \downarrow \zeta \\
 UT(A, a) & \xrightarrow{T(f_\alpha)} & UT(Z, z)
 \end{array}$$

commutes in *Set*.

Defining the structural map II

- Consider the coalgebra $(G, g, \gamma) = \coprod_{\phi \in Z} \alpha_\phi$.
- We want to fill the following diagram

$$\begin{array}{ccc}
 (G, g) & \xrightarrow{f_\gamma} & (Z, z) \\
 \downarrow \gamma & & \\
 T(G, g) & \xrightarrow{T(f_\gamma)} & T(Z, z)
 \end{array}$$

in \mathbb{A} .

- The morphism f_γ is a coequalizer in \mathbb{A} . Say it coequalizes p and q .
- Now we will show that $T(f_\gamma)\gamma$ also coequalizes p and q .

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Defining The structural map III

- The diagram

$$\begin{array}{ccc}
 U(G, g) & \xrightarrow{f_\gamma} & U(Z, z) \\
 \downarrow \gamma & & \downarrow \zeta \\
 UT(G, g) & \xrightarrow{T(f_\gamma)} & UT(Z, z)
 \end{array}$$

commutes in *Set*.

- Using this we have

$$U(T(f_\gamma)\gamma p) = \zeta U(f_\gamma p) = \zeta U(f_\gamma q) = U(T(f_\gamma)\gamma q)$$

- Since U is faithful we are done.

Lifting our construction: We are here!!!

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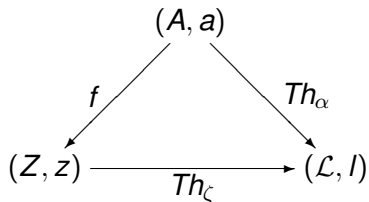
$$\zeta(\Phi) = T(f_\alpha)\alpha(s).$$

is a morphism of M -algebras.

- **The morphisms f_α are the only coalgebra morphisms into (Z, z, ζ) .**

Finish touches

- $Th_\zeta : (Z, z) \rightarrow (\mathcal{L}, m)$ is a monomorphism (actually it is the inclusion map).
- Every coalgebra morphism $f : \alpha \rightarrow \zeta$ makes the following diagram



commute.

- The only morphism is f_α .

Main results

Theorem

*For any functor $T : \mathbb{A} \rightarrow \mathbb{A}$ over a monadic category over *Set*; if there exists an expressive abstract coalgebraic language for T , then there exists a final T -coalgebra.*

Corollary

*The construction still works if \mathbb{A} is epireflective in a monadic category over *Set*.*

The properties used

The setting

Given a functor

$$U : \mathbb{A} \rightarrow \mathit{Set}$$

we used. . .

- U is faithful.
- \mathbb{A} has pullbacks and coproducts.
- U lifts (*RegEpi*, *Mono*)-factorizations.
- U lifts the inclusion $i_\alpha : Z_\alpha \rightarrow Z$ (U lifts factorizations of sinks)

Further work

- To find interesting structured languages.
- Can we provide a more categorical proof?
- What would be the dual of our construction?