

Cauchy completeness results motivated by Myhill's characterization of combinatorial functions

Matías Menni

`matias.menni@gmail.com`

Conicet and Lifa-UNLP, Argentina

Setting and intuition

Setting and intuition

Given

- a category \mathcal{C} (of “combinatorial” objects) and

Setting and intuition

Given

- a category \mathcal{C} (of “combinatorial” objects) and
- a monad $(M, \mathbf{u}, \mathbf{m})$ on \mathcal{C} (thought of as a construction with a reasonably understood counting formula)

Setting and intuition

Given

- a category \mathcal{C} (of “combinatorial” objects) and
- a monad $(M, \mathbf{u}, \mathbf{m})$ on \mathcal{C} (thought of as a construction with a reasonably understood counting formula)
- an object X in \mathcal{C} (whose figures/elements we need to count)

Setting and intuition

Given

- a category \mathcal{C} (of “combinatorial” objects) and
- a monad $(M, \mathbf{u}, \mathbf{m})$ on \mathcal{C} (thought of as a construction with a reasonably understood counting formula)
- an object X in \mathcal{C} (whose figures/elements we need to count)

What is a solution to this “counting problem for X ”?

Setting and intuition

Given

- a category \mathcal{C} (of “combinatorial” objects) and
- a monad $(M, \mathbf{u}, \mathbf{m})$ on \mathcal{C} (thought of as a construction with a reasonably understood counting formula)
- an object X in \mathcal{C} (whose figures/elements we need to count)

What is a solution to this “counting problem for X ”?

The exhibition of an iso $X \cong MX_0$.

Setting and intuition

Given

- a category \mathcal{C} (of “combinatorial” objects) and
- a monad $(M, \mathbf{u}, \mathbf{m})$ on \mathcal{C} (thought of as a construction with a reasonably understood counting formula)
- an object X in \mathcal{C} (whose figures/elements we need to count)

What is a solution to this “counting problem for X ”?

The exhibition of an iso $X \cong MX_0$.

So we are led to consider free M -algebras ...

Setting and intuition

Given

- a category \mathcal{C} (of “combinatorial” objects) and
- a monad $(M, \mathbf{u}, \mathbf{m})$ on \mathcal{C} (thought of as a construction with a reasonably understood counting formula)
- an object X in \mathcal{C} (whose figures/elements we need to count)

What is a solution to this “counting problem for X ”?

The exhibition of an iso $X \cong MX_0$.

So we are led to consider free M -algebras ...

... and means to recognize them.

A bit more concretely:

Given sequence $\{a_i \in \mathbb{N}\}_{i \geq 0}$ obtained by counting the number of instances of a given structure A .

A bit more concretely:

Given sequence $\{a_i \in \mathbb{N}\}_{i \geq 0}$ obtained by counting the number of instances of a given structure A .

Why is it the case that, for some $\{b_i\}_{i \geq 0}$, we have:

A bit more concretely:

Given sequence $\{a_i \in \mathbb{N}\}_{i \geq 0}$ obtained by counting the number of instances of a given structure A .

Why is it the case that, for some $\{b_i\}_{i \geq 0}$, we have:

• $a_n = \sum_{i \in \mathbb{N}} b_i \binom{n}{i}$ or

A bit more concretely:

Given sequence $\{a_i \in \mathbb{N}\}_{i \geq 0}$ obtained by counting the number of instances of a given structure A .

Why is it the case that, for some $\{b_i\}_{i \geq 0}$, we have:

• $a_n = \sum_{i \in \mathbb{N}} b_i \binom{n}{i}$ or

• $a_n = \sum_{i \in \mathbb{N}} b_i S(n, i)$ or

A bit more concretely:

Given sequence $\{a_i \in \mathbb{N}\}_{i \geq 0}$ obtained by counting the number of instances of a given structure A .

Why is it the case that, for some $\{b_i\}_{i \geq 0}$, we have:

• $a_n = \sum_{i \in \mathbb{N}} b_i \binom{n}{i}$ or

• $a_n = \sum_{i \in \mathbb{N}} b_i S(n, i)$ or

• $a_n = \sum_{i \in \mathbb{N}} b_i \begin{bmatrix} n \\ i \end{bmatrix}_q$ or

A bit more concretely:

Given sequence $\{a_i \in \mathbb{N}\}_{i \geq 0}$ obtained by counting the number of instances of a given structure A .

Why is it the case that, for some $\{b_i\}_{i \geq 0}$, we have:

• $a_n = \sum_{i \in \mathbb{N}} b_i \binom{n}{i}$ or

• $a_n = \sum_{i \in \mathbb{N}} b_i S(n, i)$ or

• $a_n = \sum_{i \in \mathbb{N}} b_i \begin{bmatrix} n \\ i \end{bmatrix}_q$ or

• $\sum_{n \geq 0} a_n \frac{x^n}{n!} = e^G$ where $G = \sum_{n \geq 0} b_n \frac{x^n}{n!}$?

A bit more concretely:

Given sequence $\{a_i \in \mathbb{N}\}_{i \geq 0}$ obtained by counting the number of instances of a given structure A .

Why is it the case that, for some $\{b_i\}_{i \geq 0}$, we have:

• $a_n = \sum_{i \in \mathbb{N}} b_i \binom{n}{i}$ or

• $a_n = \sum_{i \in \mathbb{N}} b_i S(n, i)$ or

• $a_n = \sum_{i \in \mathbb{N}} b_i \begin{bmatrix} n \\ i \end{bmatrix}_q$ or

• $\sum_{n \geq 0} a_n \frac{x^n}{n!} = e^G$ where $G = \sum_{n \geq 0} b_n \frac{x^n}{n!}$?

Because A can be given the structure of a free algebra.

The main example

The Schanuel topos

- Consider the category $\text{Set}^{\mathbb{B}}$.

The Schanuel topos

- Consider the category $\mathbf{Set}^{\mathbb{B}}$.
- Think of $C \in \mathbf{Set}^{\mathbb{B}}$ as $\{c_i\}_{i \geq 0}$.

The Schanuel topos

- Consider the category $\mathbf{Set}^{\mathbb{B}}$.
- Think of $C \in \mathbf{Set}^{\mathbb{B}}$ as $\{c_i\}_{i \geq 0}$.
- Consider the monad (M, u, m) defined by

$$(MC)U = \sum_{V \subseteq U} CV$$

The Schanuel topos

- Consider the category $\mathbf{Set}^{\mathbb{B}}$.
- Think of $C \in \mathbf{Set}^{\mathbb{B}}$ as $\{c_i\}_{i \geq 0}$.
- Consider the monad (M, u, m) defined by

$$(MC)U = \sum_{V \subseteq U} CV$$

- Think of MC as $n \mapsto \sum_{i=0}^n c_i \binom{n}{i}$.

The Schanuel topos

- Consider the category $\text{Set}^{\mathbb{B}}$.
- Think of $C \in \text{Set}^{\mathbb{B}}$ as $\{c_i\}_{i \geq 0}$.
- Consider the monad $(M, \mathbf{u}, \mathbf{m})$ defined by

$$(MC)U = \sum_{V \subseteq U} CV$$

- Think of MC as $n \mapsto \sum_{i=0}^n c_i \binom{n}{i}$.
- So free algebras are “essentially the same” as Myhill’s *combinatorial functions*.

The Schanuel topos

- Consider the category $\text{Set}^{\mathbb{B}}$.
- Think of $C \in \text{Set}^{\mathbb{B}}$ as $\{c_i\}_{i \geq 0}$.
- Consider the monad (M, u, m) defined by

$$(MC)U = \sum_{V \subseteq U} CV$$

- Think of MC as $n \mapsto \sum_{i=0}^n c_i \binom{n}{i}$.
- So free algebras are “essentially the same” as Myhill’s *combinatorial functions*.
- CLAIM: Myhill’s main result says that Kl_M is Cauchy complete (in a very strong sense).

A very simple counting problem

Functions I

- Fix a set T .

Functions I

- Fix a set T .
- Define \mathbf{T} in $\mathbf{Set}^{\mathbb{B}}$ by $\mathbf{T}U = \{\text{functions } T \rightarrow U\}$.

Functions I

- Fix a set T .
- Define \mathbf{T} in $\mathbf{Set}^{\mathbb{B}}$ by $\mathbf{T}U = \{\text{functions } T \rightarrow U\}$.
- The assignment:

$$(V \subseteq U, f : T \rightarrow V) \mapsto (T \xrightarrow{f} V \xrightarrow{\subseteq} U)$$

extends to an M -algebra structure $a : M\mathbf{T} \rightarrow \mathbf{T}$.

Functions I

- Fix a set T .
- Define \mathbf{T} in $\mathbf{Set}^{\mathbb{B}}$ by $\mathbf{T}U = \{\text{functions } T \rightarrow U\}$.
- The assignment:

$$(V \subseteq U, f : T \rightarrow V) \mapsto (T \xrightarrow{f} V \xrightarrow{\subseteq} U)$$

extends to an M -algebra structure $a : M\mathbf{T} \rightarrow \mathbf{T}$.

Is \mathbf{T} a free M -algebra?

Functions I

- Fix a set T .
- Define \mathbf{T} in $\mathbf{Set}^{\mathbb{B}}$ by $\mathbf{T}U = \{\text{functions } T \rightarrow U\}$.
- The assignment:

$$(V \subseteq U, f : T \rightarrow V) \mapsto (T \xrightarrow{f} V \xrightarrow{\subseteq} U)$$

extends to an M -algebra structure $a : M\mathbf{T} \rightarrow \mathbf{T}$.

Is \mathbf{T} a free M -algebra?

It is enough to find a section for the canonical presentation

$$a : (M\mathbf{T}, \mathbf{m}) \rightarrow (\mathbf{T}, a).$$

Functions II

- The assignment

$$(f : T \rightarrow U) \mapsto (fT \subseteq U, f : T \rightarrow fT)$$

extends to a section $s : (\mathbf{T}, a) \rightarrow (M\mathbf{T}, \mathbf{m})$ of the canonical presentation $a : (M\mathbf{T}, \mathbf{m}) \rightarrow (\mathbf{T}, a)$.

Functions II

- The assignment

$$(f : T \rightarrow U) \mapsto (fT \subseteq U, f : T \rightarrow fT)$$

extends to a section $s : (\mathbf{T}, a) \rightarrow (M\mathbf{T}, \mathbf{m})$ of the canonical presentation $a : (M\mathbf{T}, \mathbf{m}) \rightarrow (\mathbf{T}, a)$.

- Which is the object of generators?

Functions II

- The assignment

$$(f : T \rightarrow U) \mapsto (fT \subseteq U, f : T \rightarrow fT)$$

extends to a section $s : (\mathbf{T}, a) \rightarrow (M\mathbf{T}, \mathbf{m})$ of the canonical presentation $a : (M\mathbf{T}, \mathbf{m}) \rightarrow (\mathbf{T}, a)$.

- Which is the object of generators?
- It is the equalizer $\mathbf{T}_s \rightarrow \mathbf{T}$ of $s, u : \mathbf{T} \rightarrow M\mathbf{T}$.

$$\mathbf{T}_s \xrightarrow{\bar{s}} \mathbf{T} \begin{array}{c} \xrightarrow{u} \\ \xrightarrow{s} \end{array} M\mathbf{T}$$

Functions II

- The assignment

$$(f : T \rightarrow U) \mapsto (fT \subseteq U, f : T \rightarrow fT)$$

extends to a section $s : (\mathbf{T}, a) \rightarrow (M\mathbf{T}, \mathbf{m})$ of the canonical presentation $a : (M\mathbf{T}, \mathbf{m}) \rightarrow (\mathbf{T}, a)$.

- Which is the object of generators?
- It is the equalizer $\mathbf{T}_s \rightarrow \mathbf{T}$ of $s, u : \mathbf{T} \rightarrow M\mathbf{T}$.

$$\mathbf{T}_s \xrightarrow{\bar{s}} \mathbf{T} \begin{array}{c} \xrightarrow{u} \\ \xrightarrow{s} \end{array} M\mathbf{T}$$

- More explicitly, $\mathbf{T}_s U = \{\text{surjections } T \rightarrow U\}$.

Functions II

- The assignment

$$(f : T \rightarrow U) \mapsto (fT \subseteq U, f : T \rightarrow fT)$$

extends to a section $s : (\mathbf{T}, a) \rightarrow (M\mathbf{T}, \mathbf{m})$ of the canonical presentation $a : (M\mathbf{T}, \mathbf{m}) \rightarrow (\mathbf{T}, a)$.

- Which is the object of generators?
- It is the equalizer $\mathbf{T}_s \rightarrow \mathbf{T}$ of $s, u : \mathbf{T} \rightarrow M\mathbf{T}$.

$$\mathbf{T}_s \xrightarrow{\bar{s}} \mathbf{T} \begin{array}{c} \xrightarrow{u} \\ \xrightarrow{s} \end{array} M\mathbf{T}$$

- More explicitly, $\mathbf{T}_s U = \{\text{surjections } T \rightarrow U\}$.
- Numerical version: $n^{\#T} = \sum_{i=0}^n su(i, \#T) \binom{n}{i}$.

Strongly Cauchy monads

Cauchy monads

Definition 1. A *Cauchy monad* is a monad whose Kleisli category is Cauchy complete.

Cauchy monads

Definition 2. A *Cauchy monad* is a monad whose Kleisli category is Cauchy complete.

Example 1. Kaplansky's Theorem, Serre's problem, Schreier varieties (i.e. subalgebras of free algebras are free).

Cauchy monads

Definition 2. A *Cauchy monad* is a monad whose Kleisli category is Cauchy complete.

Example 1. Kaplansky's Theorem, Serre's problem, Schreier varieties (i.e. subalgebras of free algebras are free).

We need something stronger.

Cauchy monads

Definition 2. A *Cauchy monad* is a monad whose Kleisli category is Cauchy complete.

Example 1. Kaplansky's Theorem, Serre's problem, Schreier varieties (i.e. subalgebras of free algebras are free).

We need something stronger.

Definition 2. Let $s : (A, a) \rightarrow (MA, \mathbf{m})$ be a morphism. The *subobject of generic elements* associated to s is the equalizer (in \mathcal{C})

$$A_s \xrightarrow{\bar{s}} A \begin{array}{c} \xrightarrow{\mathbf{u}_A} \\ \xrightarrow{s} \end{array} MA$$

of s , $\mathbf{u}_A : A \rightarrow MA$.

Strongly Cauchy monads

Definition 3. A monad $(M, \mathbf{u}, \mathbf{m})$ is *strongly Cauchy* if for every algebra (A, a) with a section $s : (A, a) \rightarrow (MA, \mathbf{m})$ for $a : (MA, \mathbf{m}) \rightarrow (A, a)$ the map

$$MA_s \xrightarrow{M\bar{s}} MA \xrightarrow{a} A$$

is an iso.

The terminology is consistent because ...

Strongly Cauchy monads

Definition 3. A monad $(M, \mathbf{u}, \mathbf{m})$ is *strongly Cauchy* if for every algebra (A, a) with a section $s : (A, a) \rightarrow (MA, \mathbf{m})$ for $a : (MA, \mathbf{m}) \rightarrow (A, a)$ the map

$$MA_s \xrightarrow{M\bar{s}} MA \xrightarrow{a} A$$

is an iso.

The terminology is consistent because ...

Proposition 1. *Every strongly Cauchy monad is Cauchy.*

A more interesting example: Möbius categories

Möbius categories

Definition 3. A category \mathcal{C} has *finite decompositions of degree 2* if for every map f the set $\{(f', f'') \mid f' f'' = f\}$ is finite.

Fix \mathcal{C} with finite decompositions and A a ring.

Definition 3. The *incidence algebra* AC of \mathcal{C} is:

1. the set of functions $\text{Arr}\mathcal{C} \rightarrow A$ with
2. pointwise addition and multiplication by scalar
3. multiplication $*$: $AC \times AC \rightarrow AC$ defined by

$$(\alpha * \beta)f = \sum_{f' f'' = f} (\alpha f')(\beta f'')$$

Möbius categories

An n -tuple (f_1, \dots, f_n) , $n \geq 1$, is a *decomposition* of f if $f_1 \dots f_n = f$ and $f_i \neq id$ for every i .

Möbius categories

An n -tuple (f_1, \dots, f_n) , $n \geq 1$, is a *decomposition* of f if $f_1 \dots f_n = f$ and $f_i \neq id$ for every i .

Theorem 1 (Content, Lemay et Leroux; 1980). *If \mathcal{C} has finite decompositions of degree 2 then t.f.a.e.:*

1. $\alpha \in AC$ is invertible iff for all identities i in \mathcal{C} , αi is invertible in A .
2. every arrow in \mathcal{C} has a finite number of decompositions.

Möbius categories

An n -tuple (f_1, \dots, f_n) , $n \geq 1$, is a *decomposition* of f if $f_1 \dots f_n = f$ and $f_i \neq id$ for every i .

Theorem 1 (Content, Lemay et Leroux; 1980). *If \mathcal{C} has finite decompositions of degree 2 then t.f.a.e.:*

1. $\alpha \in AC$ is invertible iff for all identities i in \mathcal{C} , αi is invertible in A .
2. every arrow in \mathcal{C} has a finite number of decompositions.

Definition 4. A *Möbius category* is one satisfying the conditions of the theorem above.

Riemann and Möbius

- (Riemann function) Define $\zeta \in AC$ by $\zeta f = 1$ for every f in \mathcal{C} .

Riemann and Möbius

- (Riemann function) Define $\zeta \in AC$ by $\zeta f = 1$ for every f in \mathcal{C} .
- (Möbius function) If \mathcal{C} is Möbius, ζ is invertible. Denote $\zeta^{-1} = \mu$.

Riemann and Möbius

- (Riemann function) Define $\zeta \in AC$ by $\zeta f = 1$ for every f in \mathcal{C} .
- (Möbius function) If \mathcal{C} is Möbius, ζ is invertible. Denote $\zeta^{-1} = \mu$.
- (The general Möbius inversion principle) For every $\alpha, \beta \in AC$

$$\alpha = \beta * \zeta \Leftrightarrow \beta = \alpha * \mu$$

Riemann and Möbius

- (Riemann function) Define $\zeta \in AC$ by $\zeta f = 1$ for every f in \mathcal{C} .
- (Möbius function) If \mathcal{C} is Möbius, ζ is invertible. Denote $\zeta^{-1} = \mu$.
- (The general Möbius inversion principle) For every $\alpha, \beta \in AC$

$$\alpha = \beta * \zeta \Leftrightarrow \beta = \alpha * \mu$$

On a more combinatorial proof ...

Combinatorial Möbius inversion

- Instead of AC consider $\text{Set}^{\text{Arr}\mathcal{C}}$ (with essentially the same tensor $*$).

Combinatorial Möbius inversion

- Instead of \mathcal{AC} consider $\text{Set}^{\text{Arr}\mathcal{C}}$ (with essentially the same tensor $*$).
- Denote the terminal object by ζ .

Combinatorial Möbius inversion

- Instead of AC consider $\text{Set}^{\text{Arr}\mathcal{C}}$ (with essentially the same tensor $*$).
- Denote the terminal object by ζ .
- Let $E \in \text{Set}^{\text{Arr}\mathcal{C}}$ assign to each map, its set of decompositions of even length.

Combinatorial Möbius inversion

- Instead of AC consider $\text{Set}^{\text{Arr}\mathcal{C}}$ (with essentially the same tensor $*$).
- Denote the terminal object by ζ .
- Let $E \in \text{Set}^{\text{Arr}\mathcal{C}}$ assign to each map, its set of decompositions of even length.
- E has an obvious monoid structure. Denote the resulting monad by $(E\nu, u, m)$.

Combinatorial Möbius inversion

- Instead of AC consider $\text{Set}^{\text{Arr}\mathcal{C}}$ (with essentially the same tensor $*$).
- Denote the terminal object by ζ .
- Let $E \in \text{Set}^{\text{Arr}\mathcal{C}}$ assign to each map, its set of decompositions of even length.
- E has an obvious monoid structure. Denote the resulting monad by $(E\nu, \mathbf{u}, \mathbf{m})$.

Lemma 1. *The monad $(E\nu, \mathbf{u}, \mathbf{m})$ is strongly Cauchy.*

Combinatorial Möbius inversion

- Instead of AC consider $\text{Set}^{\text{Arr}\mathcal{C}}$ (with essentially the same tensor $*$).
- Denote the terminal object by ζ .
- Let $E \in \text{Set}^{\text{Arr}\mathcal{C}}$ assign to each map, its set of decompositions of even length.
- E has an obvious monoid structure. Denote the resulting monad by $(E\nu, u, m)$.

Lemma 1. *The monad $(E\nu, u, m)$ is strongly Cauchy.*

- Decompositions of odd length induce a functor $\text{Od} : \text{Set}^{\text{Arr}\mathcal{C}} \rightarrow \text{Set}^{\text{Arr}\mathcal{C}}$.

Combinatorial Möbius inversion

Proposition 2. *For every β in $\text{Set}^{\text{Arr}\mathcal{C}}$,*

$$\beta + \text{Od}(\beta * \zeta) \cong \text{Ev}(\beta * \zeta)$$



Combinatorial Möbius inversion

Proposition 2. For every β in $\text{Set}^{\text{Arr}\mathcal{C}}$,

$$\beta + \text{Od}(\beta * \zeta) \cong \text{Ev}(\beta * \zeta)$$

$$\text{“}\alpha = \beta * \zeta \quad \Rightarrow \quad \beta = \text{Ev}(\alpha) - \text{Od}(\alpha) = \alpha * \mu\text{”}$$



Combinatorial Möbius inversion

Proposition 2. For every β in $\text{Set}^{\text{Arr}\mathcal{C}}$,

$$\beta + \text{Od}(\beta * \zeta) \cong \text{Ev}(\beta * \zeta)$$

$$“\alpha = \beta * \zeta \quad \Rightarrow \quad \beta = \text{Ev}(\alpha) - \text{Od}(\alpha) = \alpha * \mu”$$

Proof. 1. Use that $(\text{Ev}, \mathbf{u}, \mathbf{m})$ is strongly Cauchy.



Combinatorial Möbius inversion

Proposition 2. For every β in $\mathbf{Set}^{\mathbf{Arr}\mathcal{C}}$,

$$\beta + \mathbf{Od}(\beta * \zeta) \cong \mathbf{Ev}(\beta * \zeta)$$

$$“\alpha = \beta * \zeta \quad \Rightarrow \quad \beta = \mathbf{Ev}(\alpha) - \mathbf{Od}(\alpha) = \alpha * \mu”$$

Proof. 1. Use that $(\mathbf{Ev}, \mathbf{u}, \mathbf{m})$ is strongly Cauchy.

2. Put an Ev-algebra structure a on $\beta + \mathbf{Od}(\beta * \zeta)$.



Combinatorial Möbius inversion

Proposition 2. For every β in $\mathbf{Set}^{\mathbf{Arr}\mathcal{C}}$,

$$\beta + \mathbf{Od}(\beta * \zeta) \cong \mathbf{Ev}(\beta * \zeta)$$

$$“\alpha = \beta * \zeta \quad \Rightarrow \quad \beta = \mathbf{Ev}(\alpha) - \mathbf{Od}(\alpha) = \alpha * \mu”$$

Proof. 1. Use that $(\mathbf{Ev}, \mathbf{u}, \mathbf{m})$ is strongly Cauchy.

2. Put an \mathbf{Ev} -algebra structure a on $\beta + \mathbf{Od}(\beta * \zeta)$.

3. Find a section s for the canonical presentation of a .

□

Combinatorial Möbius inversion

Proposition 2. For every β in $\mathbf{Set}^{\mathbf{Arr}\mathcal{C}}$,

$$\beta + \mathbf{Od}(\beta * \zeta) \cong \mathbf{Ev}(\beta * \zeta)$$

$$“\alpha = \beta * \zeta \quad \Rightarrow \quad \beta = \mathbf{Ev}(\alpha) - \mathbf{Od}(\alpha) = \alpha * \mu”$$

Proof. 1. Use that $(\mathbf{Ev}, \mathbf{u}, \mathbf{m})$ is strongly Cauchy.

2. Put an \mathbf{Ev} -algebra structure a on $\beta + \mathbf{Od}(\beta * \zeta)$.

3. Find a section s for the canonical presentation of a .

4. Observe that the subobject of generic elements is $\beta * \zeta$.

□

Strongly Cauchy monads on Heyting categories

Minimal elements

Let $(M, \mathbf{u}, \mathbf{m})$ be a monad on a Heyting category \mathcal{H} .

Minimal elements

Let $(M, \mathbf{u}, \mathbf{m})$ be a monad on a Heyting category \mathcal{H} .

Definition 6. The *subobject of minimal elements* associated with an M -algebra (A, a) is the subobject $a_\star : [A, a] \rightarrow A$ of A where

$$[A, a] = \{x \in A \mid (\forall v \in MA)(av = x \rightarrow v = \mathbf{u}x)\}$$

Minimal elements

Let $(M, \mathbf{u}, \mathbf{m})$ be a monad on a Heyting category \mathcal{H} .

Definition 6. The *subobject of minimal elements* associated with an M -algebra (A, a) is the subobject $a_\star : [A, a] \rightarrow A$ of A where

$$[A, a] = \{x \in A \mid (\forall v \in MA)(av = x \rightarrow v = \mathbf{u}x)\}$$

Definition 6. An algebra (A, a) is *well-founded* if the morphism

$$M[A, a] \xrightarrow{Ma_\star} MA \xrightarrow{a} A$$

is regular epi.

Quasi-exact algebras

Proposition 3. *Let (M, m, u) be a monad on a Heyting category such that M maps pullbacks to quasi-pullbacks. For an M -algebra (A, a) the following are equivalent:*

1. $a(Ma_\star) : M[A, a] \rightarrow A$ is an iso.
2. (A, a) is well-founded and **quasi-exact**

Quasi-exact algebras

Proposition 3. *Let (M, m, u) be a monad on a Heyting category such that M maps pullbacks to quasi-pullbacks. For an M -algebra (A, a) the following are equivalent:*

1. $a(Ma_\star) : M[A, a] \rightarrow A$ is an iso.
2. (A, a) is well-founded and **quasi-exact**

What are **quasi-exact** algebras?

Quasi-exact algebras

Proposition 3. *Let $(M, \mathbf{m}, \mathbf{u})$ be a monad on a Heyting category such that M maps pullbacks to quasi-pullbacks. For an M -algebra (A, a) the following are equivalent:*

1. $a(Ma_\star) : M[A, a] \rightarrow A$ is an iso.
2. (A, a) is well-founded and *quasi-exact*

What are *quasi-exact* algebras?

Example 2. If $(M, \mathbf{m}, \mathbf{u})$ is the monad on $\mathbf{Set}^{\mathbb{B}}$ which induces the Schanuel topos then quasi-exact means pullback-preserving.

Quasi-exact algebras

- For any algebra (A, a) consider the pullback

$$\begin{array}{ccc} \mathbb{T}(A, a) & \xrightarrow{\pi_0} & MA \\ \pi_1 \downarrow & & \downarrow a \\ MA & \xrightarrow{a} & A \end{array}$$

Quasi-exact algebras

- For any algebra (A, a) consider the pullback

$$\begin{array}{ccc} \mathbb{T}(A, a) & \xrightarrow{\pi_0} & MA \\ \pi_1 \downarrow & & \downarrow a \\ MA & \xrightarrow{a} & A \end{array}$$

- The assignment $(A, a) \mapsto \mathbb{T}(A, a)$ extends to a functor $\mathbb{T} : \text{Alg} \rightarrow \mathcal{C}$.

Quasi-exact algebras

- For any algebra (A, a) consider the pullback

$$\begin{array}{ccc} \mathbb{T}(A, a) & \xrightarrow{\pi_0} & MA \\ \pi_1 \downarrow & & \downarrow a \\ MA & \xrightarrow{a} & A \end{array}$$

- The assignment $(A, a) \mapsto \mathbb{T}(A, a)$ extends to a functor $\mathbb{T} : \text{Alg} \rightarrow \mathcal{C}$.

Definition 7. An algebra (A, a) is *quasi-exact* if \mathbb{T} applied to $a : (MA, \mathbf{m}) \rightarrow (A, a)$ is regular epi.

Basic facts and example

1. Free algebras are quasi-exact.
2. Retracts of quasi-exact algebras are quasi-exact.

$$\mathbf{Kl} \rightarrow \overline{\mathbf{Kl}} \rightarrow \mathbf{qeAlg} \rightarrow \mathbf{Alg}$$

Basic facts and example

1. Free algebras are quasi-exact.
2. Retracts of quasi-exact algebras are quasi-exact.

$$Kl \rightarrow \overline{Kl} \rightarrow \text{qeAlg} \rightarrow \text{Alg}$$

Example 3. For the Myhill-Schanuel monad $Kl \rightarrow \text{qeAlg}$ is an equivalence.

Basic facts and example

1. Free algebras are quasi-exact.
2. Retracts of quasi-exact algebras are quasi-exact.

$$\mathbf{Kl} \rightarrow \overline{\mathbf{Kl}} \rightarrow \mathbf{qeAlg} \rightarrow \mathbf{Alg}$$

Example 3. For the Myhill-Schanuel monad $\mathbf{Kl} \rightarrow \mathbf{qeAlg}$ is an equivalence.

RECALL: An M -algebra (A, a) is *well-founded* if the map $M[A, a] \rightarrow A$ is regular epi.

Well-founded implies Strong Cauchy

Definition 8. A monad $(M, \mathbf{u}, \mathbf{m})$ on a Heyting category is *well-founded* if the quasi-exact algebras are well-founded.

Well-founded implies Strong Cauchy

Definition 8. A monad $(M, \mathbf{u}, \mathbf{m})$ on a Heyting category is *well-founded* if the quasi-exact algebras are well-founded.

Proposition 4. *Let $(M, \mathbf{u}, \mathbf{m})$ be a well-founded monad on a Heyting category.*

1. *If M maps pullbacks to quasi-pullbacks then the embedding $\mathbf{Kl} \rightarrow \mathbf{qeAlg}$ is an equivalence and the monad is strongly Cauchy.*

Well-founded implies Strong Cauchy

Definition 8. A monad $(M, \mathbf{u}, \mathbf{m})$ on a Heyting category is *well-founded* if the quasi-exact algebras are well-founded.

Proposition 4. *Let $(M, \mathbf{u}, \mathbf{m})$ be a well-founded monad on a Heyting category.*

- 1. If M maps pullbacks to quasi-pullbacks then the embedding $\mathbf{Kl} \rightarrow \mathbf{qeAlg}$ is an equivalence and the monad is strongly Cauchy.*
- 2. If, moreover, M reflects isos then for every algebra (A, a) the canonical $a : (MA, \mathbf{m}) \rightarrow (A, a)$ has at most one section.*

Well-founded implies Strong Cauchy

Definition 8. A monad $(M, \mathbf{u}, \mathbf{m})$ on a Heyting category is *well-founded* if the quasi-exact algebras are well-founded.

Proposition 4. *Let $(M, \mathbf{u}, \mathbf{m})$ be a well-founded monad on a Heyting category.*

- 1. If M maps pullbacks to quasi-pullbacks then the embedding $\mathbf{Kl} \rightarrow \mathbf{qeAlg}$ is an equivalence and the monad is strongly Cauchy.*
- 2. If, moreover, M reflects isos then for every algebra (A, a) the canonical $a : (MA, \mathbf{m}) \rightarrow (A, a)$ has at most one section.*
 - If such a section $s : (A, a) \rightarrow (MA, \mathbf{m})$ exists then $[A, a] = A_s$.*

Concrete manifestations

Schanuel-Kleisli categories

Proposition 5. *Let \mathcal{M} be a category with pullbacks and every map mono. Let \mathcal{I} be the subcategory of isos and $(M, \mathbf{u}, \mathbf{m})$ be the monad on $\mathbf{Set}^{\mathcal{I}}$ induced by $\mathcal{I} \rightarrow \mathcal{M}$. Then the following are equivalent*

Schanuel-Kleisli categories

Proposition 5. *Let \mathcal{M} be a category with pullbacks and every map mono. Let \mathcal{I} be the subcategory of isos and $(M, \mathbf{u}, \mathbf{m})$ be the monad on $\mathbf{Set}^{\mathcal{I}}$ induced by $\mathcal{I} \rightarrow \mathcal{M}$. Then the following are equivalent*

1. *$(M, \mathbf{u}, \mathbf{m})$ is well-founded*
2. *\mathcal{M} is well-founded
(i.e. no infinite $X_0 \leftarrow X_1 \leftarrow \dots \leftarrow X_n \leftarrow \dots$)*

Schanuel-Kleisli categories

Proposition 5. *Let \mathcal{M} be a category with pullbacks and every map mono. Let \mathcal{I} be the subcategory of isos and $(M, \mathbf{u}, \mathbf{m})$ be the monad on $\mathbf{Set}^{\mathcal{I}}$ induced by $\mathcal{I} \rightarrow \mathcal{M}$. Then the following are equivalent*

1. *$(M, \mathbf{u}, \mathbf{m})$ is well-founded*
2. *\mathcal{M} is well-founded*
(i.e. no infinite $X_0 \leftarrow X_1 \leftarrow \dots \leftarrow X_n \leftarrow \dots$)

Moreover, in this case, $\mathbf{qeAlg} \cong \mathbf{Kl}_M$ is the category of pullback preserving functors $\mathcal{M} \rightarrow \mathbf{Set}$.

Schanuel-Kleisli categories

Proposition 5. *Let \mathcal{M} be a category with pullbacks and every map mono. Let \mathcal{I} be the subcategory of isos and $(M, \mathbf{u}, \mathbf{m})$ be the monad on $\mathbf{Set}^{\mathcal{I}}$ induced by $\mathcal{I} \rightarrow \mathcal{M}$. Then the following are equivalent*

1. *$(M, \mathbf{u}, \mathbf{m})$ is well-founded*
2. *\mathcal{M} is well-founded
(i.e. no infinite $X_0 \leftarrow X_1 \leftarrow \dots \leftarrow X_n \leftarrow \dots$)*

Moreover, in this case, $\mathbf{qeAlg} \cong \mathbf{Kl}_M$ is the category of pullback preserving functors $\mathcal{M} \rightarrow \mathbf{Set}$.

Proof. Use previous result and (Fiore and Menni; 2005). □

The exponential principle

- $\mathcal{C} = (\mathbf{Set}^{\mathbb{B}}, \cdot, I)$ the category of Joyal's species.

The exponential principle

- $\mathcal{C} = (\mathbf{Set}^{\mathbb{B}}, \cdot, I)$ the category of Joyal's species.
- An object F in \mathcal{C} is thought of as $\sum_{n \geq 0} F[n] \frac{x^n}{n!}$.

The exponential principle

- $\mathcal{C} = (\mathbf{Set}^{\mathbb{B}}, \cdot, I)$ the category of Joyal's species.
- An object F in \mathcal{C} is thought of as $\sum_{n \geq 0} F[n] \frac{x^n}{n!}$.
- The exponential monad $(\mathbb{E}, \mathbf{u}, \mathbf{m})$ on \mathcal{C} is defined by $(\mathbb{E}F)U = \sum_{\pi \in \mathbf{Part}U} \prod_{p \in \pi} Fp$. **Intuition:** $\mathbb{E}F = e^F$.

The exponential principle

- $\mathcal{C} = (\mathbf{Set}^{\mathbb{B}}, \cdot, I)$ the category of Joyal's species.
- An object F in \mathcal{C} is thought of as $\sum_{n \geq 0} F[n] \frac{x^n}{n!}$.
- The exponential monad $(\mathbb{E}, \mathbf{u}, \mathbf{m})$ on \mathcal{C} is defined by $(\mathbb{E}F)U = \sum_{\pi \in \mathbf{Part}U} \prod_{p \in \pi} Fp$. **Intuition:** $\mathbb{E}F = e^F$.

Lemma 2 (Menni2003). $(\mathbb{E}, \mathbf{u}, \mathbf{m})$ is well-founded.

The exponential principle

- $\mathcal{C} = (\mathbf{Set}^{\mathbb{B}}, \cdot, I)$ the category of Joyal's species.
- An object F in \mathcal{C} is thought of as $\sum_{n \geq 0} F[n] \frac{x^n}{n!}$.
- The exponential monad $(\mathbb{E}, \mathbf{u}, \mathbf{m})$ on \mathcal{C} is defined by $(\mathbb{E}F)U = \sum_{\pi \in \mathbf{Part}U} \prod_{p \in \pi} Fp$. **Intuition:** $\mathbb{E}F = e^F$.

Lemma 2 (Menni2003). $(\mathbb{E}, \mathbf{u}, \mathbf{m})$ is well-founded.

- Free \mathbb{E} -algebras are essentially the same as *decompositions* in the sense of (Dress-Müller97).

The exponential principle

- $\mathcal{C} = (\text{Set}^{\mathbb{B}}, \cdot, I)$ the category of Joyal's species.
- An object F in \mathcal{C} is thought of as $\sum_{n \geq 0} F[n] \frac{x^n}{n!}$.
- The exponential monad $(\mathbb{E}, \mathbf{u}, \mathbf{m})$ on \mathcal{C} is defined by $(\mathbb{E}F)U = \sum_{\pi \in \mathbf{Part}U} \prod_{p \in \pi} Fp$. **Intuition:** $\mathbb{E}F = e^F$.

Lemma 2 (Menni2003). $(\mathbb{E}, \mathbf{u}, \mathbf{m})$ is well-founded.

- Free \mathbb{E} -algebras are essentially the same as *decompositions* in the sense of (Dress-Müller97).
- The equivalence $\mathbf{Kl} \rightarrow \mathbf{qeAlg}$ is a combinatorial form of *the exponential principle*.

The exponential principle

- $\mathcal{C} = (\mathbf{Set}^{\mathbb{B}}, \cdot, I)$ the category of Joyal's species.
- An object F in \mathcal{C} is thought of as $\sum_{n \geq 0} F[n] \frac{x^n}{n!}$.
- The exponential monad $(\mathbb{E}, \mathbf{u}, \mathbf{m})$ on \mathcal{C} is defined by $(\mathbb{E}F)U = \sum_{\pi \in \mathbf{Part}U} \prod_{p \in \pi} Fp$. **Intuition:** $\mathbb{E}F = e^F$.

Lemma 2 (Menni2003). $(\mathbb{E}, \mathbf{u}, \mathbf{m})$ is well-founded.

- Free \mathbb{E} -algebras are essentially the same as *decompositions* in the sense of (Dress-Müller97).
- The equivalence $\mathbf{Kl} \rightarrow \mathbf{qeAlg}$ is a combinatorial form of *the exponential principle*.
- Generalizes from $\mathbb{B} = !1$ to the symmetric monoidal completion $!G$ of any groupoid G .

Another simple example: permutations

Permutations I

1. Define \mathcal{G} in $\text{Set}^{\mathbb{B}}$ by

$$\mathcal{G}U = \{\sigma : U \rightarrow U \mid \sigma \text{ is bijective}\}$$

2. There is an M -algebra $a : M\mathcal{G} \rightarrow \mathcal{G}$ which, at stage U , takes a subset V of U together with a permutation π on V and produces the permutation on U which is the extension of π by leaving the elements in U/V fixed.

Is (\mathcal{G}, a) a free M -algebra?

Permutations II

1. There is a section $s : \mathfrak{G} \rightarrow M\mathfrak{G}$ which, at stage U , takes a permutation π of U and produces the subset V of U given by the elements of U that are not fixed by π , together with the restriction of π to V . It follows that \mathfrak{G} is a free M -algebra.
2. What are the “generators”?
3. The equalizer of u and s is the object \mathfrak{D} of *derangements*.
4. The numerical reflection of this is that $n! = \sum_{i=0}^n d_i \binom{n}{i}$.

Origin of the ideas

Myhill's combinatorial functions

- For every function $f : \mathbb{N} \rightarrow \mathbb{N}$ there exists a unique function $c : \mathbb{N} \rightarrow \mathbb{Z}$ such that for every $n \in \mathbb{N}$,
$$fn = \sum_{i=0}^n c_i \binom{n}{i}.$$

Myhill's combinatorial functions

- For every function $f : \mathbb{N} \rightarrow \mathbb{N}$ there exists a unique function $c : \mathbb{N} \rightarrow \mathbb{Z}$ such that for every $n \in \mathbb{N}$,
$$fn = \sum_{i=0}^n c_i \binom{n}{i}.$$
- Let Q be the set of finite sets of natural numbers and define an *operator* to be a function $Q \rightarrow Q$.

Myhill's combinatorial functions

- For every function $f : \mathbb{N} \rightarrow \mathbb{N}$ there exists a unique function $c : \mathbb{N} \rightarrow \mathbb{Z}$ such that for every $n \in \mathbb{N}$,
$$fn = \sum_{i=0}^n c_i \binom{n}{i}.$$
- Let Q be the set of finite sets of natural numbers and define an *operator* to be a function $Q \rightarrow Q$.
- An operator ϕ is called *numerical* if for a and b in Q of the same cardinality, ϕa and ϕb have the same cardinality.

Myhill's combinatorial functions

- For every function $f : \mathbb{N} \rightarrow \mathbb{N}$ there exists a unique function $c : \mathbb{N} \rightarrow \mathbb{Z}$ such that for every $n \in \mathbb{N}$,
$$fn = \sum_{i=0}^n c_i \binom{n}{i}.$$
- Let Q be the set of finite sets of natural numbers and define an *operator* to be a function $Q \rightarrow Q$.
- An operator ϕ is called *numerical* if for a and b in Q of the same cardinality, ϕa and ϕb have the same cardinality.
- Clearly, every numerical operator induces a function $\mathbb{N} \rightarrow \mathbb{N}$.

Myhill and Schanuel

1. For a numerical operator ϕ let $\phi^\varepsilon = \bigcup \{\phi a \mid a \in Q\}$.
2. A numerical operator ϕ is *combinatorial* if there exists a $\phi^{-1} : \phi^\varepsilon \rightarrow Q$ such that $x \in \phi a$ if and only if $\phi^{-1}x \subseteq a$.
3. A function $f : \mathbb{N} \rightarrow \mathbb{N}$ is then called *combinatorial* if it is induced by a combinatorial operator.

Theorem 1 (Myhill). *Let $f : \mathbb{N} \rightarrow \mathbb{N}$ be a function with $f n = \sum_{i=0}^n c_i \binom{n}{i}$. Then f is combinatorial if and only if $c_i \geq 0$ for every $i \geq 0$.*

Myhill and Schanuel

Corollary 0. *If f and g are combinatorial functions then so are the functions $n \mapsto (fn) \cdot (gn)$ and $n \mapsto f(gn)$.*

Proof. This may be shown without introducing combinatorial operators, but combinatorial operators allow “to prove these closure conditions without the algebraic complications which arise from substitution involving expressions” of the form $\sum_{i=0}^n c_i \binom{n}{i}$. (Dekker 1990) □

The other way to look at this is ...

The Schanuel topos

1. Continuous actions for subgroup of bijections of $\mathbb{N}^{\mathbb{N}}$.
2. Classifier of infinite decidable objects.
3. Sheaves for the atomic topology on \mathbb{I}^{op} .
4. Pullback-preserving functors $\mathbb{I} \rightarrow \mathbf{Set}$.
5. Kl_M , where $(M, \mathbf{u}, \mathbf{m})$ on $\mathbf{Set}^{\mathbb{B}}$ is induced by $\mathbb{B} \rightarrow \mathbb{I}$.

Myhill and Schanuel

We claim that

1. Myhill's theorem is essentially saying that Kl_M is Cauchy complete.
2. A numerical operator ϕ should be thought of as an M -algebra (A, a) with $a : MA \rightarrow A$.
3. The ϕ^{-1} witnessing that ϕ is combinatorial should be thought of as a section of the canonical quotient $a : (MA, \mathbf{m}) \rightarrow (A, a)$.
4. Put differently: combinatorial operators are a device to recognize free M -algebras.